



#8  
2-10-96

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Hawley Nelson et al.

Serial No. 08/477,354

Filed: June 7, 1995

For: PEPTIDE-ENHANCED CATIONIC  
LIPID TRANSFECTIONS

:

: Group Art Unit: Unassigned

: Examiner: Unassigned

:

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner of Patents and  
Trademarks, Washington, D.C. 20231 on

October 12, 1995  
(Date of deposit)

Rebecca Wait  
Name of Applicant, Assignor or Registered Representative

October 12, 1995  
Signature

Rebecca Wait  
Date of Signature

# INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:


In compliance with the duty of disclosure set forth in 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.97-1.98, submitted herewith is PTO Form 1449 which identifies references which may be relevant to patentability. Copies of each of these items are included with this transmittal.

References known to Applicants have been listed on Form PTO-1449. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO Form 1449 is not an admission that all listed references are prior art. No representation is made that Applicants know of the best art.

It is believed that the present submission does not require the payment of any fees under 37 C.F.R. 1.16-1.20. If this is

incorrect, please charge any necessary fee to deposit account 07-1969.

Respectfully submitted,

  
Sally A. Sullivan, Ph.D.  
Reg. No. 32,064

Greenlee and Winner, P.C.  
5370 Manhattan Circle, Ste 201  
Boulder, Colorado 80303  
Ph. (303) 499-8080  
FAX: (303) 499-8089  
Attorney Docket No. 32-95  
rmw: October 12, 1995



1803

LOC 0240 A/W  
Team 4  
18G1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hawley-Nelson et al.

Serial No.: 08/477,354

Filed: June 7, 1995

For: PEPTIDE-ENHANCED CATIONIC  
LIPID TRANSFECTIONS

:  
: Group Art Unit: Unassigned  
: Examiner: Unassigned

RECEIVED

JAN 22 1996

GROUP 1800

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner of Patents and  
Trademarks, Washington, D.C. 20231 on

October 12, 1995  
(Date of deposit)

Rebecca Wait  
Name of Applicant / authorized Representative

Rebecca Wait  
Signature

October 12, 1995  
Date of Signature

TRANSMITTAL

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Included herewith are:

Information Disclosure Statement

Form PTO-1449

Copies of references listed on PTO-1449

Respectfully submitted,

Sally A. Sullivan  
Sally A. Sullivan, Ph.D., J.D.  
Reg. No. 32,0645

Greenlee and Winner, P.C.  
5370 Manhattan Circle  
Suite 201  
Boulder, Colorado 80303  
Phone: (303) 499-8080  
FAX: (303) 499-8089  
Attorney Docket No. 32-95  
rmw: October 12, 1995

18X1  
Beusch